



ALEX PADILLA | SECRETARY OF STATE | STATE OF CALIFORNIA
OFFICE OF VOTING SYSTEMS TECHNOLOGY ASSESSMENT
1500 11th Street | Sacramento, CA 95814 | Tel 916.695-1680 | Fax 916.653.4620 | www.sos.ca.gov

September 11, 2017

Brooke Thernes
Election Systems & Software, LLC
11208 John Galt Blvd
Omaha, NE 68137

Dear Ms. Thernes,

On Tuesday, June 13, 2017, the California Secretary of State Office of Voting Systems Technology Assessment (OVSTA) received a request for Administrative Approval, pursuant to California Elections Code section 19216, to use the Dell OptiPlex 5050 desktop computer system, in conjunction with the approved Election Systems and Software Unity 3.4.1.0 system. Per your request, the Dell OptiPlex 7020 desktop originally approved with the Unity 3.4.1.0 certification is no longer manufactured by Dell.

California Elections Code section 19216 precludes a voting system or part of a voting system that has been approved by the Secretary of State from being changed or modified until the Secretary of State has determined the change "does not impair its accuracy and efficiency...."

If the Secretary of State determines the change or modification does not impair the accuracy or efficiency of the voting system, the Secretary of State may administratively approve the proposed change or modification.

Further, Secretary of State Administrative Approval Policy dictates that "Unless the change or modification is solely to a process or procedure, the change or modification must be submitted to a voting system testing laboratory (VSTL)."

On September 5, 2017, you submitted the following documentation from SLI Compliance, a Division of Gaming Laboratories International, LLC and also an Elections Assistance Commission (EAC) certified VSTL:

- ESS ECO 968 Alternate Workstation Assessment Letter
- SLI DeMin Evaluation ESS ECO 968 Alternate Workstation Approval 09052017

SLI determined the change to be De Minimis, and thus does not impair the voting system's accuracy and efficiency.

Based on the application and additional supporting documentation from SLI Compliance, dated September 5, 2017, the administrative approval is granted. All other conditions of the Unity 3.4.1.0 certification, dated April 14, 2017, remain in effect.

Susan Lapsley
Deputy Secretary of State, HAVA & Counsel